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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                        |                      |
|------------------------|----------------------|
| Application Number     | 09/254,625           |
| Filing Date            | May 12, 1999         |
| First Named Inventor   | Hans-Peter Esser     |
| Group Art Unit         | 1711                 |
| Examiner Name          | P. Hampton-Hightower |
| Attorney Docket Number | 16202.160            |

Total Number of Pages in This Submission

12

## ENCLOSURES (check all that apply)

|  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                     | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input checked="" type="checkbox"/> Fee Attached                             | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input checked="" type="checkbox"/> Amendment / Response                     | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Motion, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition Checklist and Accompanying Petition                   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> To Convert a Provisional Application                           | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Additional Enclosure(s) (please identify below):                  |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Terminal Disclaimer  |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              |   |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    |   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

Remarks

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |   |
|-------------------------|---|
| Firm or Individual name | Stuart D. Frenkel, Esq., Reg. No. 29,500<br>Liniak, Berenato, Longacre & White, LLC |
| Signature               | <i>Stuart D. Frenkel</i>  |
| Date                    | March 9, 2000   |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

|                       |  |
|-----------------------|--|
| Typed or printed name |  |
| Signature             |  |
| Date                  |  |

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# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 39.00

## Complete if Known

|                      |                      |
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| First Named Inventor | Hans-Peter Esser     |
| Examiner Name        | P. Hampton-Hightower |
| Group / Art Unit     | 1711                 |
| Attorney Docket No.  | 16202.160            |

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 50-0548  
Deposit Account Name: Liniak, Berenato, et. al.

- ☒ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 780                    | 201 385                    | Utility filing fee     |          |
| 106 330                    | 206 165                    | Design filing fee      |          |
| 107 840                    | 207 270                    | Plant filing fee       |          |
| 108 790                    | 208 395                    | Reissue filing fee     |          |
| 114 150                    | 214 75                     | Provisional filing fee |          |

SUBTOTAL (1) (\$) 39.00

### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 17                 | -20** = 0    | X 9.00         | 0        |
| 4                  | -3** = 1     | X 39.00        | 39.00    |
| Multiple Dependent |              |                |          |

\*\*or number previously paid, if greater; For Reissues, see below

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 103 22                     | 203 11                     | Claims in excess of 20                                     |
| 102 82                     | 202 41                     | Independent claims in excess of 3                          |
| 104 270                    | 204 135                    | Multiple dependent claim, if not paid                      |
| 109 82                     | 209 41                     | ** Reissue independent claims over original patent         |
| 110 22                     | 210 11                     | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 39.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 105 130                    | 205 65                     | Surcharge - late filing fee or oath  |          |
| 127 80                     | 227 25                     | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130                    | 139 130                    | Non-English specification  |          |
| 147 2,520                  | 147 2,520                  | For filing a request for reexamination                                     |          |
| 112 920*                   | 112 920*                   | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840*                 | 113 1,840*                 | Requesting publication of SIR after Examiner action                        |          |
| 115 110                    | 215 55                     | Extension for reply within first month                                     |          |
| 116 400                    | 216 200                    | Extension for reply within second month                                    |          |
| 117 950                    | 217 475                    | Extension for reply within third month                                     |          |
| 118 1,510                  | 218 755                    | Extension for reply within fourth month                                    |          |
| 128 2,080                  | 228 1,030                  | Extension for reply within fifth month                                     |          |
| 119 310                    | 219 155                    | Notice of Appeal   |          |
| 120 310                    | 220 155                    | Filing a brief in support of an appeal                                     |          |
| 121 270                    | 221 135                    | Request for oral hearing   |          |
| 138 1,510                  | 138 1,510                  | Petition to institute a public use proceeding                              |          |
| 140 110                    | 240 55                     | Petition to revive - unavoidable   |          |
| 141 1,320                  | 241 660                    | Petition to revive - unintentional   |          |
| 142 1,320                  | 242 660                    | Utility issue fee (or reissue)   |          |
| 143 450                    | 243 225                    | Design issue fee   |          |
| 144 670                    | 244 335                    | Plant issue fee  |          |
| 122 130                    | 122 130                    | Petitions to the Commissioner  |          |
| 123 50                     | 123 50                     | Petitions related to provisional applications                              |          |
| 128 240                    | 128 240                    | Submission of Information Disclosure Stmt                                  |          |
| 581 40                     | 581 40                     | Recording each patent assignment per property (times number of properties) |          |
| 148 790                    | 248 395                    | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149 790                    | 249 395                    | For each additional invention to be examined (37 CFR 1.129(b))             |          |

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 39.00

## SUBMITTED BY

Typed or Printed Name: Stuart D. Frenkel, Esq.

Signature: *Stuart D. Frenkel*

Date: 3/9/2000

## Complete (if applicable)

Reg. Number: 29,500

Deposit Account User ID